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Report on Approved Trust Funds, Participation in
Global Funding Initiatives, Acceptance of
Contributions to Trust Funds, and New Framework
Cooperation Arrangements for
January–December 2016 and
January–June 2017

This document is being disclosed to the public in accordance with ADB's Public Communications Policy 2011.

Asian Development Bank

ABBREVIATIONS

| | | |
|------|---|---|
| ADB | – | Asian Development Bank |
| DMC | – | developing member country |
| OCO | – | Office of Cofinancing Operations |
| OPPP | – | Office of Public–Private Partnership |
| SDCC | – | Sustainable Development and Climate Change Department |
| TA | – | technical assistance |

NOTE

In this report, "\$" refers to US dollars, unless otherwise stated.

| | |
|-----------------------|---|
| Vice-President | D. Gupta, Private Sector and Cofinancing Operations |
| Head | K. Preugschat, Office of Cofinancing Operations (OCO) |
| Director | S. Gupta, OCO |
| Team leader | T. Dojima, Principal Financing Partnerships Specialist, OCO |
| Team member | M. Torres, Senior Financing Partnerships Officer, OCO |

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I. ESTABLISHMENT OF NEW TRUST FUNDS

| Name of New Trust Fund | | | |
|--|--------------------|----------------------------------|--------|
| Asia–Pacific Climate Finance Fund | | | |
| Type | Establishment Date | Fund Manager Department | |
| Multi-Partner Trust Fund | 28/04/2017 | SDCC | |
| Scope | | | |
| <p>The objective of the fund is to support the development and implementation of financial risk management product instruments to help mitigate and manage a range of risks present in climate change actions. These products can be deployed in support of sovereign and nonsovereign investments wholly or partially focused on climate change mitigation, adaptation, and/or disaster risk management.</p> <p>The fund resources will be used to finance sovereign and nonsovereign products including technical assistance, grants, direct charges, other ADB business products and instruments, and any other activities on which the fund contributors and ADB agree.</p> <p>All ADB DMCs are eligible for the fund.</p> | | | |
| Financing Partner | Country | Contributions in the same period | |
| | | Date | Amount |
| Government of Germany | Germany | NA ^a | NA |

ADB = Asian Development Bank, DMC = developing member country, NA = not applicable, SDCC = Sustainable Development and Climate Change Department.

^a Agreement in progress as of 30 June 2017.

Source: ADB. 2017. *Establishment of Asia–Pacific Climate Finance Fund*. Manila.

| Name of New Trust Fund | | | |
|---|--------------------|----------------------------------|--------|
| High-Level Technology Fund ^a | | | |
| Type | Establishment Date | Fund Manager Department | |
| Multi-Partner Trust Fund | 26/04/2017 | SPD | |
| Scope | | | |
| <p>The fund will provide grant financing to promote the integration of high-level technology and innovative solutions into ADB-financed and administered sovereign and nonsovereign projects throughout the project cycle—from identification to implementation and operation. The fund will also encourage more widespread adoption of high-level technology to address development challenges in DMCs.</p> <p>The fund's resources may be used in the form of grants to finance (i) technical assistance projects, (ii) investment projects, (iii) direct charges, and (iv) other ADB business products and instruments.</p> <p>Eligibility for the fund will be based on a technology list updated annually. While all sectors are eligible, initially envisaged sectors will include energy, transport, urban, and water.</p> <p>Projects from all ADB DMCs are eligible for financing from the fund.</p> | | | |
| Financing Partner | Country | Contributions in the same period | |
| | | Date | Amount |
| Government of Japan | Japan | NA ^b | NA |

ADB = Asian Development Bank, DMC = developing member country, NA = not applicable, SPD = Strategy, Policy and Review Department.

^a <https://www.adb.org/site/funds/funds/high-level-technology-fund>

^b Instrument of Contribution in progress and to be signed by the end of July 2017.

Source: ADB. 2017. *Establishment of the High-Level Technology Fund*. Manila.

| Name of New Trust Fund | | | |
|---|--------------------|----------------------------------|------------------|
| Canadian Climate Fund for Private Sector in Asia II ^a | | | |
| Type | Establishment Date | Fund Manager Department | |
| Single Partner Trust Fund | 24/03/2017 | PSOD | |
| Scope | | | |
| <p>The repayable contribution supports greater private sector participation in climate change mitigation and adaptation in low and lower middle-income countries in Asia and the Pacific. It also seeks to promote gender equality and the empowerment of women and girls in projects supported by the fund.</p> <p>It aims to play a key role in helping the private sector overcome development risks (including market risks, technology risks, financing risks, and regulatory risks) by offering financing on concessional terms and conditions to projects that would not proceed solely on a commercial basis. Concessional financing will be used to help offset cost hurdles and initiate, scale-up, and replicate in markets that show strong potential for growth.</p> | | | |
| Financing Partner | Country | Contributions in the same period | |
| | | Date | Amount |
| Government of Canada | Canada | 27/03/2017 | Can\$200,000,000 |

PSOD = Private Sector Operations Department.

^a<https://www.adb.org/news/adb-canada-support-climate-projects-private-sector-and-womens-empowerment-new-fund>

Source: ADB. 2017. *Establishment of the Canadian Climate Fund for Private Sector in Asia II*. Manila.

| Name of New Trust Fund | | | |
|---|--------------------------|----------------------------------|------------|
| Project Readiness Improvement Trust Fund ^a | | | |
| Type | Establishment Date | Fund Manager Department | |
| Multi-Partner Trust Fund | 30/06/2016 | SERD | |
| Scope | | | |
| <p>The fund supports all project preparatory related activities agreed between the donors and ADB to help ensure that (i) project readiness is improved and project start-up delays are reduced so that activities under ensuing investment projects are implemented as designed and their benefits realized within the planned time frame; and (ii) climate change is appropriately addressed in the design of ensuing investment projects, i.e., the designs are climate change responsive based on the expected climate change impacts in the project area.</p> <p>The fund provides grant support, primarily through technical assistance and direct charges modality.</p> <p>All ADB DMCs in Southeast Asia are eligible for the fund.</p> | | | |
| Financing Partner | Countries | Contributions in the same period | |
| | | Date | Amount |
| Nordic Development Fund | Multilateral institution | 31/08/2016 | €7,000,000 |

ADB = Asian Development Bank, DMC = developing member country, SERD = Southeast Asia Department.

^a <https://www.adb.org/site/funds/funds/project-readiness-improvement-trust-fund>

Source: ADB. 2016. *Project Readiness Improvement Trust Fund*. Manila.

II. PARTICIPATION IN GLOBAL FUNDING INITIATIVES

| Name of Global Funding Initiative | | |
|--|--------------------|------------------|
| Global Infrastructure Facility ^a | | |
| Trustee | Participation Date | Focal Department |
| International Bank for Reconstruction and Development | 19/04/2017 | OPPP |
| Scope | | |
| <p>The Global Infrastructure Facility is a partnership among governments, multilateral development banks, private sector investors, and financiers. It is designed to provide a new way to collaborate on preparing, structuring, and implementing complex projects that no single institution could handle on its own. The comprehensive project support provided by the Global Infrastructure Facility draws on the combined expertise of its technical and advisory partners. This group, which includes commercial banks and institutional investors, ensures that well-structured and bankable infrastructure projects are brought to market in a way that sustainably meet the needs of governments and service users.</p> | | |

OPPP = Office of Public–Private Partnership.

^a <http://www.globalinfrafacility.org>

Source: Global Infrastructure Facility Trust Fund Financial Procedures Agreement between the Asian Development Bank and the International Bank for Reconstruction.

| Name of Global Funding Initiative | | |
|--|--------------------|------------------|
| Global Partnership for Education Fund ^a | | |
| Trustee | Participation Date | Focal Department |
| International Bank for Reconstruction and Development | 20/01/2016 | PARD |
| Scope | | |
| <p>The Global Partnership for Education is a multi-stakeholder partnership and funding platform that aims to strengthen education systems in developing countries to dramatically increase the number of children who are in school and learning. The fund supports 65 developing countries to ensure that every child receives a quality basic education, prioritizing the poorest, most vulnerable, and those living in fragile and conflict-afflicted countries.</p> <p>Focus areas are (i) children with disabilities, (ii) countries affected by fragility and conflict, (iii) development effectiveness, (iv) early childhood care and education, (v) early grade reading, (vi) girls' education and gender equality, (vii) knowledge and good practice exchange, (viii) learning outcomes, (ix) out of school children, and (x) teachers.</p> | | |

PARD = Pacific Department.

^a <http://www.globalpartnership.org/>

Source: Global Partnership for Education Fund Financial Procedures Agreement between the Asian Development Bank and the International Bank for Reconstruction.

ACCEPTANCE OF CONTRIBUTIONS TO EXISTING TRUST FUNDS

| Name of Existing Trust Fund | | | |
|--|--------------------|-------------------------|---------------|
| Afghanistan Infrastructure Trust Fund ^a | | | |
| Type | Establishment Date | Fund Manager Department | |
| Multi-Partner Trust Fund | 18/11/2010 | CWRD | |
| Scope | | | |
| <p>The objective of the fund is to pool and deliver financing for infrastructure in Afghanistan and to leverage resources through cofinancing with private sector and other development partners. The fund supports technical assistance and grants for infrastructure investments, including the construction of roads, railways, airports, energy infrastructure, and water management and irrigation facilities. The fund provides grant cofinancing alongside ADB-funded infrastructure projects, with resources supplementing those provided by ADB through its own operational budget. ADB provides operational and project management expertise to enable the Afghanistan government to implement the country's key infrastructure development plans.</p> | | | |
| Financing Partners | Country | New Contributions | |
| | | Date | Amount |
| Government of the United States | United States | 27/06/2017 | \$670,184 |
| Government of the United States | United States | 21/11/2016 | \$40,000,000 |
| ANA Trust Fund | NA | 28/11/2016 | \$105,000,000 |
| Government of Japan | Japan | 04/03/2016 | \$1,500,000 |

ADB = Asian Development Bank, CWRD = Central and West Asia Department, NA = not applicable.

^a <https://www.adb.org/site/funds/funds/afghanistan-infrastructure-trust-fund>

Source: ADB. 2010. *Afghanistan Infrastructure Trust Fund*. Manila.

| Name of Existing Trust Fund | | | |
|--|--------------------|-------------------------|----------------|
| Japan Fund for Joint Crediting Mechanism ^a | | | |
| Type | Establishment Date | Fund Manager Department | |
| Single Partner Trust Fund | 19/06/2014 | SDCC | |
| Scope | | | |
| <p>The fund was established in 2014 and aims to provide financial incentives for the adoption of advanced low carbon technologies in ADB-financed and administered sovereign and nonsovereign projects. It provides grants and technical assistance to ADB projects utilizing the Joint Crediting Mechanism (JCM).</p> <p>The fund seeks to increase the sustainability of ADB-financed and administered projects by using advanced low carbon technologies. The use of grants under the fund demonstrates the effectiveness of the JCM and provides a source of additional funding to eligible ADB developing member countries. The fund also offers the opportunity for recipients to engage in projects with strong development characteristics and long-term climate change mitigation benefits.</p> | | | |
| Financing Partner | Country | New Contributions | |
| | | Date | Amount |
| Government of Japan | Japan | 19/04/2017 | ¥1,000,000,000 |
| Government of Japan | Japan | 18/04/2016 | ¥1,200,000,000 |

ADB = Asian Development Bank, SDCC = Sustainable Development and Climate Change Department.

^a <https://www.adb.org/site/funds/funds/japan-fund-for-joint-crediting-mechanism>

Source: ADB. 2014. *Establishment of the Japan Fund for Joint Crediting Mechanism*. Manila.

| Name of Existing Trust Fund | | | |
|--|--------------------|-------------------------|----------------|
| Japan Fund for Poverty Reduction ^a | | | |
| Type | Establishment Date | Fund Manager Department | |
| Single Partner Trust Fund | 23/05/2000 | OCO | |
| Scope | | | |
| <p>The fund was established in May 2000 to provide grants for projects supporting poverty reduction and related social development activities that can add value to projects financed by ADB. In 2010, the fund expanded its scope of grant assistance to provide technical assistance grants in addition to project grants.</p> <p>Priority is placed on the poorest and most vulnerable groups living in destitution in ADB DMCs, primarily on innovative programs designed or implemented by local communities or NGOs that contribute to the achievement of Millennium Development Goals especially in health and education.</p> <p>All ADB DMCs are eligible for Japan Fund for Poverty Reduction grants.</p> | | | |
| Financing Partner | Country | New Contributions | |
| | | Date | Amount |
| Government of Japan | Japan | 06/01/2017 | ¥5,358,160,000 |
| Government of Japan | Japan | 15/01/2016 | ¥4,642,036,000 |

ADB = Asian Development Bank, DMC = developing member country, NGO = nongovernment organizations, OCO = Office of Cofinancing Operations.

^a <https://www.adb.org/site/funds/funds/japan-fund-for-poverty-reduction>

Source: ADB. 2000. *Cooperation with Japan: Japan Fund for Poverty Reduction*. Manila.

| Name of Existing Trust Fund | | | |
|---|--------------------|-------------------------|-------------|
| Japan Scholarship Program ^a | | | |
| Type | Establishment Date | Fund Manager Department | |
| Single Partner Trust Fund | 30/04/1988 | OCO | |
| Scope | | | |
| <p>The Japan Scholarship Program was established to provide an opportunity for qualified citizens of ADB's developing member countries to undertake postgraduate studies in economics, management, science, and technology, and other development-related fields at participating academic institutions in Asia and the Pacific.</p> <p>The program is open to nationals of ADB borrowing member countries who have gained admission to an approved master of arts and/or doctor or philosophy course at an academic institution. Candidates should not be more than 35 years old, in good health, with a bachelor's degree or its equivalent with a superior academic record. The candidate should agree to return to his or her home country after completion of studies under the program.</p> <p>The program covers full tuition fees, a monthly allowance for expenses, housing, books and instructional materials, medical insurance, and travel expenses. For scholars engaged in research, a special grant may be available for thesis preparation.</p> | | | |
| Financing Partner | Country | New Contributions | |
| | | Date | Amount |
| Government of Japan | Japan | 06/01/2017 | \$5,569,200 |
| Government of Japan | Japan | 15/01/2016 | \$7,016,690 |

ADB = Asian Development Bank, OCO = Office of Cofinancing Operations.

^a <https://www.adb.org/site/funds/funds/japan-scholarship-program-jsp>

Source: ADB. 1988. *Japan Scholarship Program*. Manila.

| Name of Existing Trust Fund | | | |
|--|--------------------|-------------------------|--------------|
| Republic of Korea e-Asia and Knowledge Partnership Fund ^a | | | |
| Type | Establishment Date | Fund Manager Department | |
| Single Partner Trust Fund | 01/06/2006 | SDCC | |
| Scope | | | |
| <p>The fund was established in June 2006 by the Republic of Korea. It aims to bridge the digital divide and promote improved access to information and creating and sharing of knowledge through information and communications technology (ICT) in Asia and the Pacific.</p> <p>The objectives of the e-Asia program are to strengthen the capacity of DMCs and to provide technical assistance for promoting ICT and closing the digital divide, especially through national and regional e-strategies such as e-government, e-health, e-learning, e-trade, e-commerce, e-finance, e-procurement, and e-environment.</p> <p>Projects from all ADB DMCs are eligible for funding.</p> | | | |
| Financing Partners | Country | New Contributions | |
| | | Date | Amount |
| Government of the Republic of Korea | Republic of Korea | 13/12/2016 | \$14,500,000 |

ADB = Asian Development Bank, DMC = developing member country, SDCC = Sustainable Development and Climate Change Department.

^a <https://www.adb.org/site/funds/funds/e-asia-and-knowledge-partnership-fund>

Source: ADB. 2006. *Republic of Korea e-Asia and Knowledge Partnership Fund*. Manila.

| Name of Existing Trust Fund | | | |
|---|--------------------|-------------------------|---------------|
| Clean Energy Fund under the Clean Energy Financing Partnership Facility ^a | | | |
| Type | Establishment Date | Fund Manager Department | |
| Multi-Partner Trust Fund | 24/04/2007 | SDCC | |
| Scope | | | |
| <p>The objective of the fund is to improve energy security in DMCs and decrease the rate of climate change through the increased use of clean energy. The fund is one of the vehicles, among other trust funds, under the Clean Energy Financing Partnership Facility.</p> <p>Assistance from the fund is provided in the form of untied grants for components of investment projects and TA, including advisory, project preparatory, and regional TA, as well as for any other activities that may be agreed upon between partners and ADB. Such assistance may be combined with other ADB resources and forms of bilateral or multilateral assistance, such as other dedicated funds, to provide the most effective support to promote clean energy.</p> <p>All ADB DMCs are eligible for support from the fund for activities prioritized under the Energy Efficiency Initiative.</p> | | | |
| Financing Partner | Country | New Contributions | |
| | | Date | Amount |
| Government of Norway | Norway | 30/09/2016 | NKr20,000,000 |

ADB = Asian Development Bank, DMC = developing member country, SDCC = Sustainable Development and Climate Change Department, TA = technical assistance.

^a <https://www.adb.org/site/funds/funds/clean-energy-financing-partnership-facility>

Source: ADB. 2007. *Clean Energy Financing Partnership Facility: Establishment of the Clean Energy Fund and Clean Energy Trust Funds*. Manila.

| Name of Existing Trust Fund | | | |
|---|--------------------|-------------------------|----------|
| Cooperation Fund for Project Preparation in the Greater Mekong Subregion and in Other Specific Asian Countries ^a | | | |
| Type | Establishment Date | Fund Manager Department | |
| Single Partner Trust Fund | 28/12/2004 | OCO | |
| Scope | | | |
| <p>The fund was established in partnership with Agence Française de Développement in 2004 to promote project preparatory technical assistance operations to be designed, processed, and administered at the sole discretion of ADB with regional cooperation focus in the Greater Mekong Subregion (GMS). Specific focus is placed on the implementation of high priority subregional projects in transport, energy, telecommunications, environment, human resource development, tourism, trade facilitation, private sector investment, and agriculture.</p> <p>Recipients of the fund are ADB developing member countries in the GMS and regional institutions located in these countries.</p> | | | |
| Financing Partners | Country | New Contributions | |
| | | Date | Amount |
| Agence Française de Développement | France | 28/09/2016 | €500,000 |

ADB = Asian Development Bank, OCO = Office of Cofinancing Operations.

^a <https://www.adb.org/site/funds/funds/cooperation-fund-project-preparation-ta-greater-mekong-subregion>

Source: ADB. 2004. *Cooperation with France: Cooperation Fund for Project Preparation in the Greater Mekong Subregion*. Manila.

| Name of Existing Trust Fund | | | |
|--|--------------------|-------------------------|---------------|
| Asia Pacific Project Preparation Facility ^a | | | |
| Type | Establishment Date | Fund Manager Department | |
| Multi-Partner Trust Fund | 14/11/2014 | OPPP | |
| Scope | | | |
| <p>The fund aims to increase the level of infrastructure development and enhance the quality of infrastructure in Asia and the Pacific. The Asia Pacific Project Preparation Facility's primary objective is to assist DMC governments and their public-sector agencies to prepare and structure infrastructure projects with private sector participation, including privatization through to public–private partnership modalities, and to bring them to the global market.</p> <p>All central and local governments of ADB DMCs as well as government agencies (including sub-sovereign and government-owned entities) are eligible to receive support.</p> | | | |
| Financing Partner | Country | New Contributions | |
| | | Date | Amount |
| Government of Australia ^b | Australia | 09/02/2016 | A\$10,000,000 |

ADB = Asian Development Bank, DMC = developing member country, OPPP = Office of Public–Private Partnership.

^a <https://www.adb.org/site/funds/funds/asia-pacific-project-preparation-facility>

^b New partner for the fund.

Source: ADB. 2014. *Establishment of the Asia Pacific Project Preparation Facility*. Manila.

FRAMEWORK COOPERATION ARRANGEMENTS

| Name of Cooperation Arrangement | Signed Date | Focal Department |
|--|--------------------|------------------|
| Partnership Framework Agreement between ADB and Agence Française de Développement, 2016–2022 | 08/10/2016 | OCO |
| Financing Partners | Type (Country) | |
| Agence Française de Développement | Bilateral - France | |
| Scope of Cooperation | | |
| <p>ADB and Agence Française de Développement strengthen their collaboration through the following main activities: (i) cofinancing; (ii) technical assistance; (iii) knowledge sharing and joint communication; (iv) joint research (operational, thematic, and sectoral) and development of knowledge products; and (v) staff exchanges.</p> <p>These institutions intend to focus their future collaborative activities on specific areas, which include the following: (i) sustainable cities, (ii) urban transport, (iii) climate change, (iv) social protection, (v) railways, and (vi) air pollution. Other areas, such as regional cooperation and integration, social business, and inclusive business, can also be included when both ADB and Agence Française de Développement consider these strategically important. Cofinancing may be undertaken by countries in Central and West Asia, East Asia, South Asia, and Southeast Asia.</p> <p>Indicative amount of cofinancing is \$1,500,000,000.</p> | | |
| Total Approved Projects as of 30 June 2017 | Number | Amount |
| | 7 | \$552,000,000 |

ADB = Asian Development Bank, OCO = Office of Cofinancing Operations.

Source: Partnership Framework Agreement between ADB and Agence Française de Développement, 2016–2022.

| Name of Cooperation Arrangement | Signed Date | Focal Department |
|---|----------------|------------------|
| Framework Cofinancing Agreement between ADB and Eurasian Development Bank | 02/03/2016 | OCO |
| Financing Partners | Type (Country) | |
| Eurasian Development Bank | Multilateral | |
| Scope of Cooperation | | |
| <p>ADB and the Eurasian Development Bank make every effort to strengthen their cooperation, especially in matters relating to</p> <ul style="list-style-type: none"> (i) identifying projects that qualify for financing by both institutions, with a view to cofinancing through their available financial resources; (ii) entering arrangements to coordinate operations and activities in countries and common priority sectors in accordance with Section 1.02 of the Framework Cofinancing Agreement to augment the financing required to achieving common development objectives; and (iii) exchanging information to benefit from each other's experiences, resources, and expertise regarding the processing projects for potential cofinancing, subject to each institution's applicable confidentiality policy. <p>Based on Section 1.02 of the Agreement, the common priority sectors are transport, energy, public services, and agriculture. Cofinancing may be undertaken but is limited to the following countries, which are members of both institutions: Armenia, Kazakhstan, Kyrgyz Republic, and Tajikistan.</p> <p>Indicative amount of cofinancing is \$1,000,000,000.</p> | | |
| Total Approved Projects as of 30 June 2017 | Number | Amount |
| | 2 | \$125,000,000 |

ADB = Asian Development Bank, OCO = Office of Cofinancing Operations.

Source: Framework Cofinancing Agreement between ADB and Eurasian Development Bank.

| Name of Cooperation Arrangement | Signed Date | Focal Department |
|---|----------------|------------------|
| Framework Cofinancing Agreement between ADB and the OPEC Fund for International Development | 19/08/2016 | OCO |
| Financing Partners | Type (Country) | |
| OPEC Fund for International Development | Multilateral | |
| Scope of Cooperation | | |
| ADB and the OPEC Fund for International Development strengthen their cooperation, especially in matters relating to | | |
| <ul style="list-style-type: none"> (i) identifying projects that qualify for financing by both institutions, with a view to cofinancing through their available financial resources; (ii) entering arrangements to coordinate operations and activities in countries and common priority sectors to augment the financing required to achieve common development objectives; and (iii) exchanging information to benefit from each other's experiences, resources, and expertise regarding the processing projects for potential cofinancing, subject to each institution's applicable confidentiality policy. | | |
| <p>The FCA focuses on the following sectors: (i) energy (renewable, energy efficiency); (ii) transport; (iii) agriculture; (iv) water supply and sanitation; (v) education; and (vi) health. The target countries are Armenia, Azerbaijan, Bangladesh, Bhutan, Cambodia, India, Kazakhstan, Kyrgyz Republic, Lao People's Democratic Republic, Maldives, Mongolia, Nepal, Papua New Guinea, Philippines, Samoa, Solomon Islands, Sri Lanka, Tajikistan, Tonga, Turkmenistan, and Uzbekistan.</p> | | |
| Indicative amount of cofinancing is \$600,000,000. | | |
| Total Approved Projects as of 30 June 2017 | Number | Amount |
| | 2 | \$21,000,000 |

ADB = Asian Development Bank, OCO = Office of Cofinancing Operations, OPEC = Organization of the Petroleum Exporting Countries.

Source: Framework Cofinancing Agreement between ADB and the OPEC Fund for international Development.